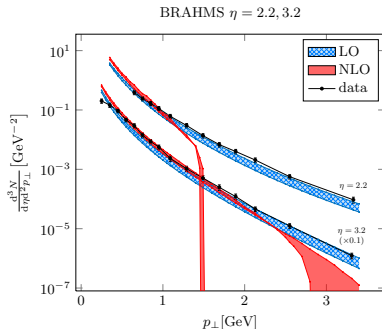
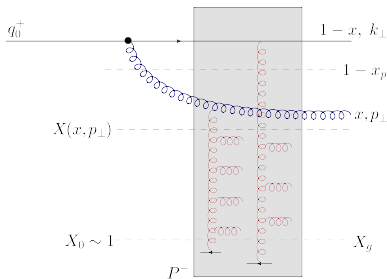


Particle production in pA collisions beyond leading order

Edmond Iancu

IPhT Saclay & CNRS

w/ A.H. Mueller and D.N. Triantafyllopoulos, arXiv:1608.05293



- pQCD at high-energy, or ‘small- x ’, is complicated by non-linear effects associated with the **high gluon densities**
 - gluon saturation, multiple scattering
 - the “power-suppressed corrections” are now effects of $\mathcal{O}(1)$
 - pQCD resummations based on eikonal approximation
 - Wilson lines, Color Glass Condensate
 - new scattering operators: dipole, quadrupole, ...
 - related to the small- x limit of TMD’s
 - non-linear evolution equations: BK, B-JIMWLK
 - new factorization scheme(s): CGC (or ‘generalized k_T ’), hybrid

Introduction

- Realistic phenomenology requires (at least) **NLO accuracy**
- The CGC formalism has recently been promoted to **NLO** 😊
 - inclusion of running coupling corrections in BK
(*Kovchegov and Weigert, 2016; Balitsky, 2016*)
 - NLO versions for the BK and B-JIMWLK equations
(*Balitsky and Chirilli, 2008, 2013; Kovner, Lublinsky, and Mulian, 2013*)
 - NLO impact factor for particle production in pA collisions
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 - NLO impact factor for DIS
(*Balitsky and Chirilli, 2010-2013; Beuf, 2016*)

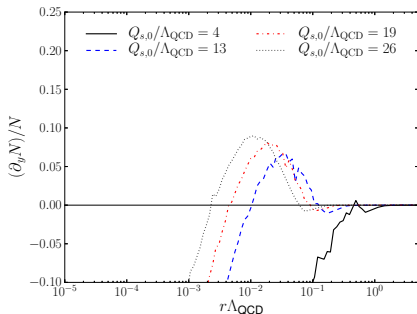
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(*Balitsky and Chirilli, 2010-2013; Beuf, 2016*)
- But the NLO approximations turned out to be **disappointing** 😞
- New resummations (of the perturbative expansion) have been recently devised to cure these problems

- “Negative growth” of the dipole scattering amplitude



Lappi, Mäntysaari, arXiv:1502.02400

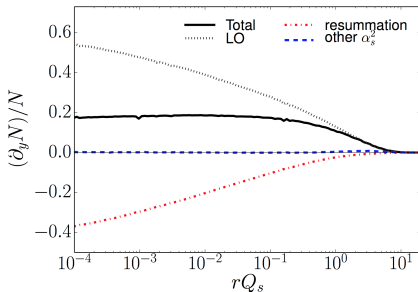
- Hardly a surprise

- similar problems for NLO BFKL
- large transverse logarithms
- collinear resummations
- Mellin representation

(Salam, Ciafaloni, Colferai, Stasto, 98-03; Altarelli, Ball, Forte, 00-03)

NLO BK evolution

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Lappi, Mäntysaari, arXiv:1601.06598

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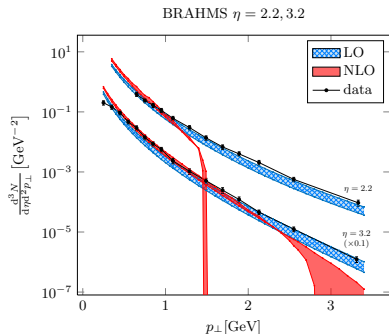
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- Collinear improvement for NLO BK (transverse coordinates)
(E.I., J. Madrigal, A. Mueller, G. Soyez, and D. Triantafyllopoulos, 2015)
- Evolution becomes stable with promising phenomenology
 - excellent fits to DIS (Iancu et al, 2015; Albacete, 2015)

Particle production in d+Au collisions (RHIC)

- Very good agreement at low p_{\perp} 😊 ... but negative at larger p_{\perp} 😞

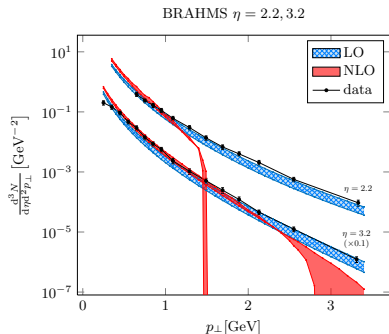


Stasto, Xiao, and Zaslavsky, arXiv:1307.4057

- Is this a real problem ?
 - “small- x resummations do not apply at large p_{\perp} ”
 - but $p_{\perp} \sim Q_s$ is not that large !
 - and the turn-over is dramatic
- Are the 2 problems related ?
 - transverse logs are ubiquitous

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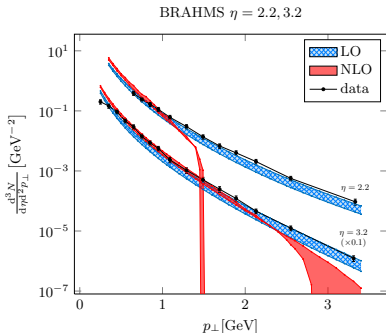


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- Various proposals which alleviate the problem (pushed to higher p_{\perp})
 - Kang, Vitev, and Xing, arXiv:1403.5221
 - Altinoluk, Armesto, Beuf, Kovner, and Lublinsky, arXiv:1411.2869
 - Ducloué, Lappi, and Zhu, arXiv:1604.00225

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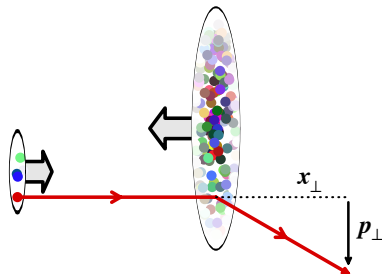
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- A fresh look at the NLO calculation of the cross-section
(*E.I., A. Mueller and D. Triantafyllopoulos, arXiv:1608.05293*)

Quark production at forward rapidity

- A quark initially collinear with the proton acquires a **transverse momentum** p_{\perp} via multiple scattering off the dense nucleus



$$\eta = \frac{1}{2} \ln \frac{p^+}{p^-}$$

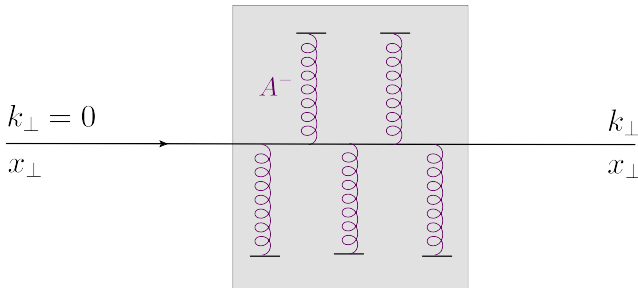
$$x_p = \frac{p_{\perp}}{\sqrt{s}} e^{\eta}$$

$$X_g = \frac{p_{\perp}}{\sqrt{s}} e^{-\eta}$$

- η : quark rapidity in the COM frame
- x_p : longitudinal fraction of the quark in the proton
- X_g : longitudinal fraction of the gluon in the target
- $\eta > 1$: 'forward rapidity' $\implies X_g \ll x_p$ ('dense-dilute')
- RHIC: $p_{\perp} = 2$ GeV, $\eta = 3 \implies x_p = 0.2$ & $X_g = 5 \times 10^{-4}$

Wilson lines

- Multiple scattering can be resummed in the **eikonal approximation**



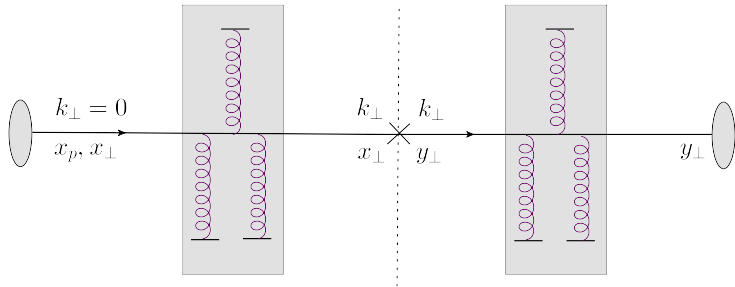
Amplitude: $\mathcal{M}_{ij}(\mathbf{k}_{\perp}) \equiv \int d^2\mathbf{x}_{\perp} e^{-i\mathbf{x}_{\perp} \cdot \mathbf{k}_{\perp}} V_{ij}(\mathbf{x}_{\perp})$

Wilson line: $V(\mathbf{x}_{\perp}) = \text{P exp} \left\{ ig \int dx^+ A_a^-(x^+, \mathbf{x}_{\perp}) t^a \right\}$

- A_a^- : color field representing small- x gluons in the nucleus

Wilson lines

- Multiple scattering can be resummed in the **eikonal approximation**



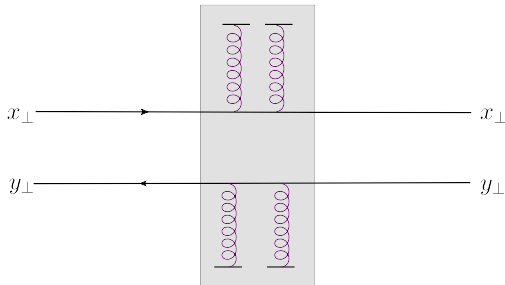
Amplitude: $\mathcal{M}_{ij}(\mathbf{k}_\perp) \equiv \int d^2\mathbf{x}_\perp e^{-i\mathbf{x}_\perp \cdot \mathbf{k}_\perp} V_{ij}(\mathbf{x}_\perp)$

Cross-section: $\frac{d\sigma}{dy d^2\mathbf{k}_\perp} \simeq x_p q(x_p, Q^2) \frac{1}{N_c} \left\langle \sum_{ij} |\mathcal{M}_{ij}(\mathbf{k}_\perp)|^2 \right\rangle_{X_g}$

- Average over the color fields A^- in the target (**CGC**)

Dipole picture

- Equivalently: the elastic S -matrix for a $q\bar{q}$ color dipole



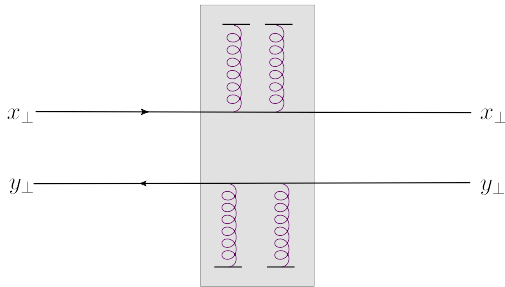
$$S(\mathbf{x}, \mathbf{y}; X_g) \equiv \frac{1}{N_c} \langle \text{tr}[V(\mathbf{x})V^\dagger(\mathbf{y})] \rangle_{X_g}$$

$$\frac{d\sigma}{dy d^2\mathbf{k}} \simeq x_p q(x_p) \int_{\mathbf{x}, \mathbf{y}} e^{-i(\mathbf{x}-\mathbf{y}) \cdot \mathbf{k}} S(\mathbf{x}, \mathbf{y}; X_g)$$

- The Fourier transform $\mathcal{S}(\mathbf{k}, X_g)$ of the dipole S -matrix is a TMD for gluons in the nucleus (*cf. talk by Daniel Boer*).

Dipole picture

- Equivalently: the elastic S -matrix for a $q\bar{q}$ color dipole



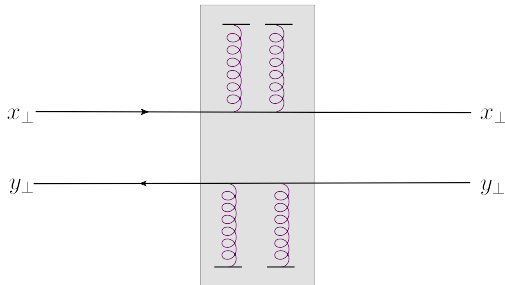
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- 'Hybrid factorization': collinear-fact. for p & CGC-fact. for A
(Dumitru, Hayashigaki, and Jalilian-Marian, [arXiv:hep-ph/0506308](https://arxiv.org/abs/hep-ph/0506308)).

Dipole picture

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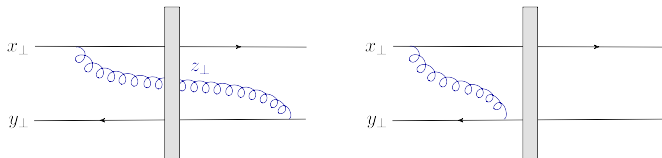
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- The dipole picture is preserved by the high-energy evolution up to NLO
(Kovchegov and Tuchin, 2002; Mueller and Munier, 2012)

Dipole evolution (leading order)

- Probability $\sim \alpha_s \ln \frac{1}{x}$ to radiate a soft gluon with $x \equiv \frac{p^+}{q_0^+} \ll 1$



- Large N_c : the dipole splits into two new dipoles (Al Mueller, 1990)



- Evolution equation for the dipole S -matrix $S_{xy}(Y)$ with $Y \equiv \ln(1/x)$

$$\frac{\partial S_{xy}}{\partial Y} = \frac{\bar{\alpha}_s}{2\pi} \int d^2z \frac{(x-y)^2}{(x-z)^2(y-z)^2} [S_{xz}S_{zy} - S_{xy}]$$

The BK equation (*Balitsky, '96; Kovchegov, '99*)

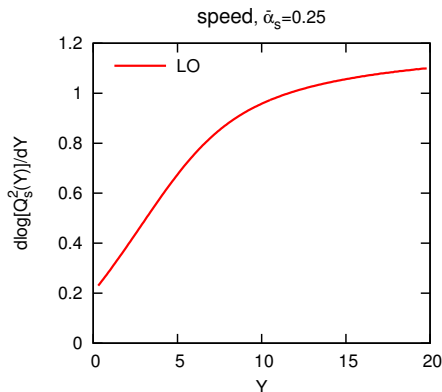
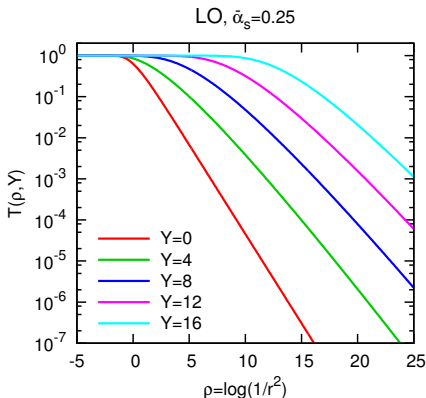
- Non-linear equation for the scattering amplitude $T_{xy} \equiv 1 - S_{xy}$

$$\frac{\partial T_{xy}}{\partial Y} = \frac{\bar{\alpha}_s}{2\pi} \int d^2z \frac{(x-y)^2}{(x-z)^2(y-z)^2} [T_{xz} + T_{zy} - T_{xy} - T_{xz}T_{zy}]$$

- Non-linear generalization of the BFKL equation
- 2 regimes depending upon the parent dipole size $r = |x - y|$
 - small dipole $r \ll 1/Q_s(Y)$: weak scattering $T \ll 1 \Rightarrow$ BFKL equation
 - larger dipole $r \gtrsim 1/Q_s(Y)$: approach to black disk limit $T = 1$
 - saturation momentum $Q_s(Y)$: $T(r, Y) = 0.5$ when $r = 1/Q_s(Y)$
- $Q_s(Y)$ increases rapidly with Y due to the BFKL dynamics
 - successive soft emissions leading to an exponential growth of T

The saturation front

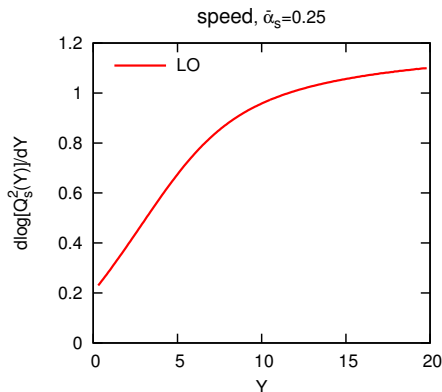
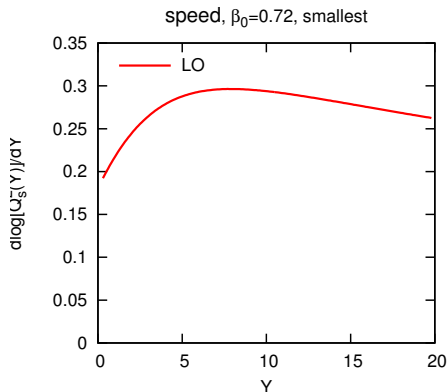
- $T \equiv 1 - S$ as a function of $\rho \equiv \ln(1/r^2)$ with increasing Y



- color transparency at large ρ (small r) : $T \propto r^2 = e^{-\rho}$
- unitarization at small ρ (large r) : $T = 1$ (black disk limit)
- saturation exponent: $\lambda_s \equiv \frac{d \ln Q_s^2}{dY} \simeq 1$ for $Y \gtrsim 10$

Adding running coupling: rcBK

- The LO saturation exponent is way too large: $\lambda_{\text{HERA}} = 0.2 \div 0.3$

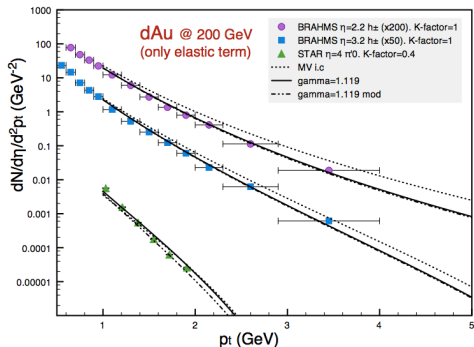
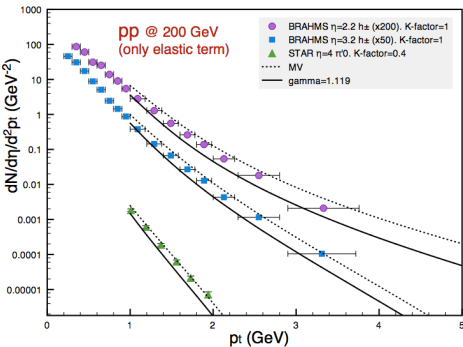


- Including **running coupling** dramatically slows down the evolution
 - realistic value for the saturation exponent
- ... but there are other, equally important, NLO corrections !

LO phenomenology (rcBK)

(Albacete, Dumitru, Fujii, Nara, arXiv:1209:2001)

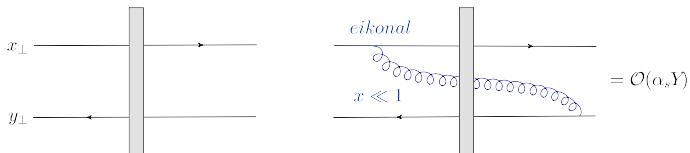
- Fit parameters: initial condition for the rcBK equation + K -factors



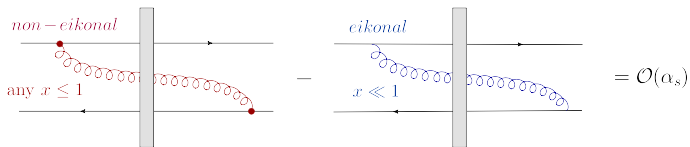
$$\left. \frac{dN}{dy d^2\mathbf{k}} \right|_{\text{LO}} = K^h \int_{x_p}^1 \frac{dz}{z^2} \frac{x_p}{z} q\left(\frac{x_p}{z}\right) \mathcal{S}\left(\frac{\mathbf{k}}{z}, X_g\right) D_{h/q}(z)$$

Beyond leading order

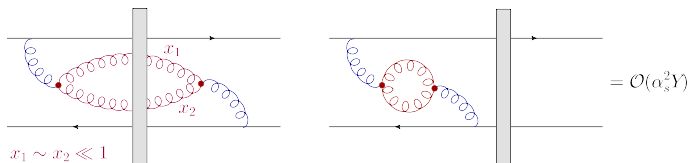
- LO approximation: any number $n \geq 0$ of **soft emissions** $\Rightarrow (\alpha_s Y)^n$



- NLO correction to impact factor: the first gluon is **hard**



- NLO corrections to the evolution: 2 soft gluons, **with similar values of x**



NLO factorization scheme by CXY

(Chirilli, Xiao, and Yuan, *arXiv:1203.6139 [hep-ph]*)

- Recall first the factorization at LO :

$$\left. \frac{dN}{dy d^2\mathbf{k}} \right|_{\text{LO}} = x_p q(x_p) \int d^2\mathbf{r} e^{-i\mathbf{r}\cdot\mathbf{k}} S_{\text{LO}}(\mathbf{r}, X_g) \equiv \mathcal{S}_{\text{LO}}(\mathbf{k}, X_g)$$

- CXY: “Replace \mathcal{S}_{LO} by $\mathcal{S} \equiv \mathcal{S}_{\text{NLO}}$ and add the impact factor correction”

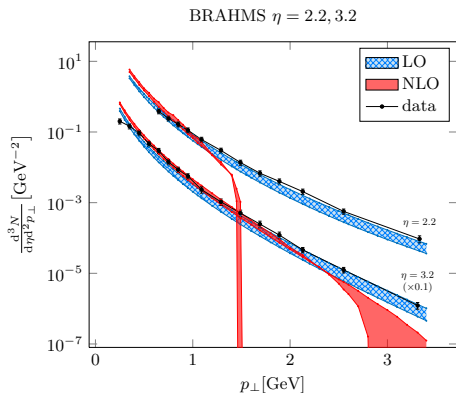
$$\left. \frac{dN}{dy d^2\mathbf{k}} \right|_{\text{NLO}} = \mathcal{S}(\mathbf{k}, X_g) + \bar{\alpha}_s \int_0^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \mathcal{S}(\mathbf{k}, X_g)$$

- $\mathcal{K}(x)$: kernel for emitting a gluon by the dipole with exact kinematics
 - $\mathcal{K}(0)$: small- x (eikonal) limit of $\mathcal{K}(x) =$ dipole kernel
 - ‘plus’ prescription for the integral over x
 - local in x (here X_g)
- Natural generalization of NLO k_{\perp} -factorization to high density

The negativity problem

(Stasto, Xiao, and Zaslavsky, arXiv:1307.4057)

- Sudden drop in the numerical estimate at momenta p_\perp of order Q_s



- “NLO evolution is notoriously unstable”
- Sure, but in this calculation $\mathcal{S} \approx \mathcal{S}_{\text{rcBK}}$
 - rcBK evolution is well behaved
 - the actual “LO approx” in practice

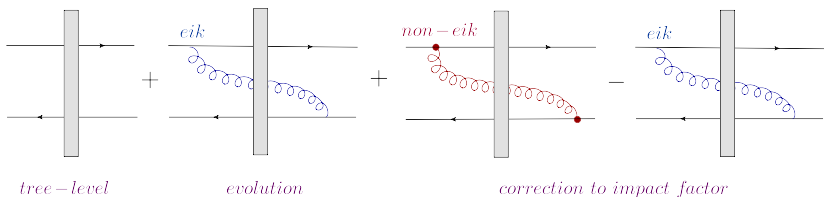
$$\left. \frac{dN}{d\eta d^2\mathbf{k}} \right|_{\text{LO}} = \mathcal{S}_{\text{rcBK}}(\mathbf{k}, X_g)$$

- The NLO correction to the impact factor is **negative** (not a real surprise) ... and **dominates over the LO result at sufficiently large k_\perp**

Deconstructing the NLO approximation

(E.I., A. Mueller and D. Triantafyllopoulos, arXiv:1608.05293)

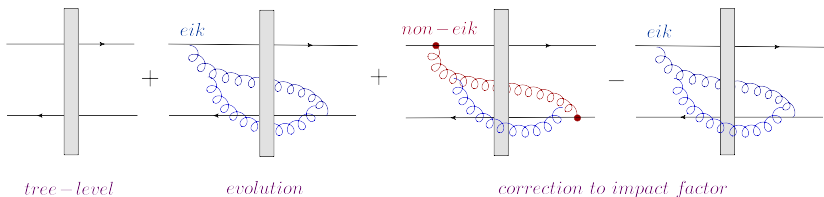
• One gluon emission



Deconstructing the NLO approximation

(E.I., A. Mueller and D. Triantafyllopoulos, *arXiv:1608.05293*)

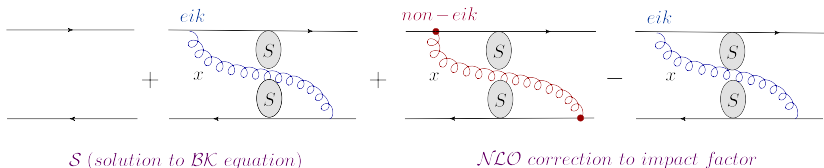
- Building up the evolution ...



Deconstructing the NLO approximation

(E.I., A. Mueller and D. Triantafyllopoulos, arXiv:1608.05293)

- LO evolution (say, rcBK) fully included



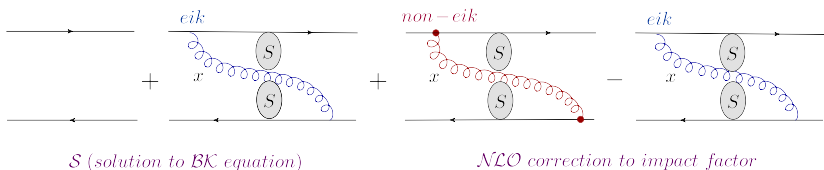
$$\mathcal{N} = \mathcal{S}(\mathbf{k}, X_g) + \bar{\alpha}_s \int_{X_g}^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \mathcal{S}(\mathbf{k}, X(x)); \quad X(x) \equiv \frac{X_g}{x}$$

- Non-local in x :** target evolution depends upon the x -value of the gluon
 - lower limit on x : $X \leq 1 \implies x \geq X_g$
- Different from the CXY formula ... but equivalent to **NLO accuracy**
 - $\mathcal{S}(X(x)) \simeq \mathcal{S}(X_g)$ since integral controlled by $x \sim 1$
 - remove lower limit $X_g \implies$ the 'plus' prescription

Deconstructing the NLO approximation

(E.I., A. Mueller and D. Triantafyllopoulos, arXiv:1608.05293)

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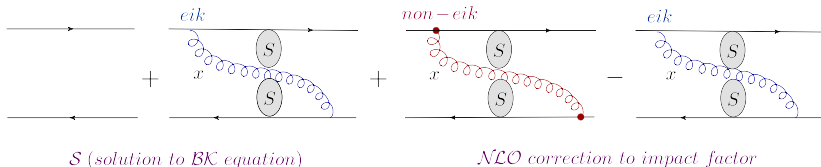


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- Non-local in x : target evolution depends upon the x -value of the gluon
 - lower limit on x : $X \leq 1 \implies x \geq X_g$
- Different from the CXY formula ... but equivalent to NLO accuracy
- N.B.: $\mathcal{S}(X_g) > \mathcal{S}(X(x))$ for any $x < 1 \implies$ some over-subtraction

The fine-tuning problem

- One adds and subtracts the LO evolution (the dominant contribution !)



$$\mathcal{N} = \mathcal{S}(\mathbf{k}, X_g) + \bar{\alpha}_s(k_\perp^2) \int_{X_g}^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \mathcal{S}(\mathbf{k}, X(x))$$

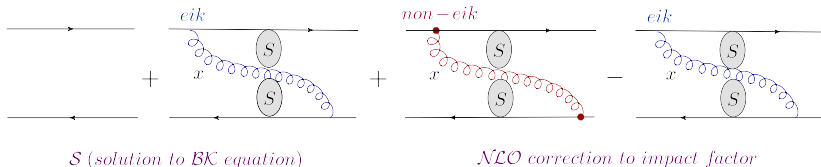
- The 'added' and 'subtracted' pieces are treated differently
 - the 'added' piece is used to reconstruct the solution to BK

$$\mathcal{S}(\mathbf{k}, X_g) = \mathcal{S}_0(\mathbf{k}) + \bar{\alpha}_s \int_{X_g}^1 \frac{dx}{x} \mathcal{K}(0) \mathcal{S}(\mathbf{k}, X(x))$$

- the 'subtracted' piece is used to isolate the NLO impact factor

The fine-tuning problem

- One adds and subtracts the LO evolution (the dominant contribution !)



$$\mathcal{N}_{\text{CXY}} = \mathcal{S}(\mathbf{k}, X_g) + \bar{\alpha}_s(k_\perp^2) \int_0^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \mathcal{S}(\mathbf{k}, X_g)$$

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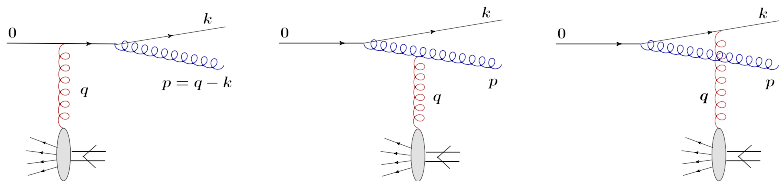
- the 'subtracted' piece is used to isolate the NLO impact factor
- CXY: the subtraction is performed only approximately

Why is this a problem ?

- Any approximation/numerical error in the BK solution or in the subtraction procedure \implies **mismatch** between the 'added' and 'subtracted' pieces
- An extreme example: **the GBW saturation model**

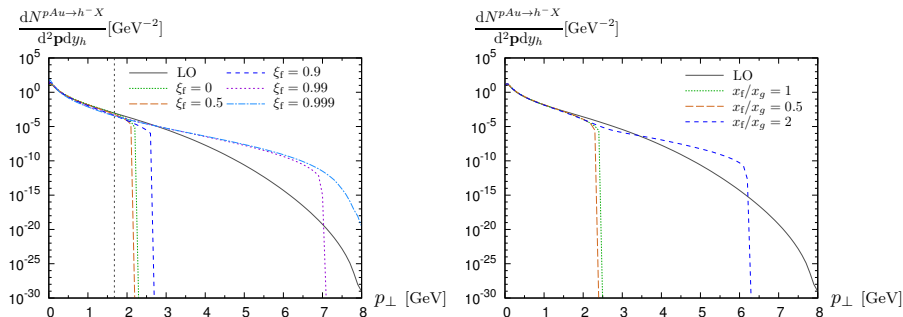
$$\mathcal{S}_{\text{GBW}}(\mathbf{k}, X) \propto e^{-\frac{k_{\perp}^2}{Q_s^2}}$$

- the 'added' piece is **exponentially** suppressed at $k_{\perp} \gg Q_s$
- the 'subtracted' piece develops a **power-law tail** $\propto 1/k_{\perp}^4$



- the overall result becomes **negative** at sufficiently large k_{\perp}

CXY factorization + GBW model for \mathcal{S}



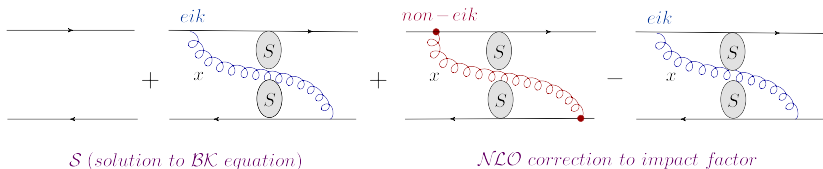
(Ducloué, Lappi, and Zhu, arXiv:1604.00225)

- This behavior is indeed visible in the numerical results
- Rapidity factorization scale $x_0 \equiv 1 - \xi_f$
- Decreasing x_0 pushes the problem to higher k_{\perp}
 - strongly dependent upon the precise implementation of x_0

Back to basics

(E.I., A. Mueller and D. Triantafyllopoulos, *arXiv:1608.05293*)

- Why do we need the rapidity subtraction in the first place ?
 - to disentangle evolution from corrections to the impact factor
 - to ensure a strict expansion in powers of α_s



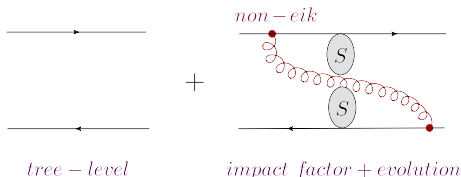
$$\mathcal{N} = \mathcal{S}(\mathbf{k}, X_g) + \bar{\alpha}_s \int_{X_g}^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \mathcal{S}(\mathbf{k}, X(x))$$

- All that is fine ... so long as it works !

A new factorization scheme

(E.I., A. Mueller and D. Triantafyllopoulos, arXiv:1608.05293)

- But when it doesn't, better return to the **skeleton structure of pQCD**



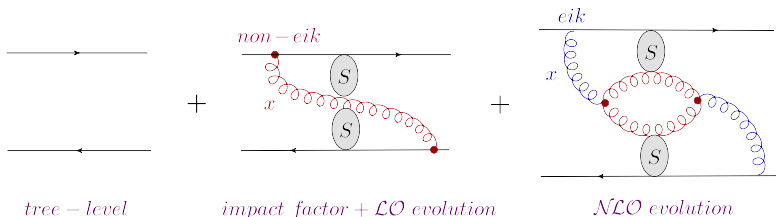
$$\mathcal{N}(\mathbf{k}) = \mathcal{S}_0(\mathbf{k}) + \bar{\alpha}_s(k_\perp^2) \int_{X_g}^1 \frac{dx}{x} \mathcal{K}(x) \mathcal{S}(\mathbf{k}, X(x))$$

- NLO corrections to impact factor and evolution are mixed with each other
 - it goes beyond a strict NLO approximation
 - non-local in x : goes beyond k_\perp -factorization
- Positive definite by construction
 - with $\mathcal{K}(x) \rightarrow \mathcal{K}(0)$: the r.h.s. of the LO BK equation

Restoring full NLO evolution

(E.I., A. Mueller and D. Triantafyllopoulos, arXiv:1608.05293)

- Recall: the NLO BK evolution also involves **2-loop graphs**

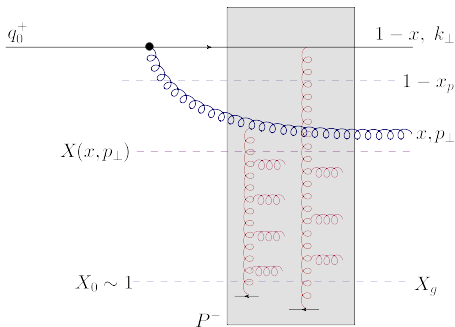


$$\mathcal{N} = \mathcal{S}_0 + \bar{\alpha}_s \int_{X_g}^1 \frac{dx}{x} \mathcal{K}(x) \mathcal{S}(X(x)) + \bar{\alpha}_s^2 \int_{X_g}^1 \frac{dx}{x} \mathcal{K}_2(0) \mathcal{S}(X(x))$$

- $\mathcal{K}_2(0)$: NLO correction to the BK kernel with collinear improvement
- Complicated in practice ... but one can start with $\mathcal{S} \approx \mathcal{S}_{\text{rcBK}}$ and $\mathcal{K}_2 = 0$
 - remember: the problem already shows up with the LO evolution

Exact kinematics for target evolution

- 'Real amplitude' : the gluon is produced in the final state



- LC energy conservation:

$$\frac{k_{\perp}^2}{2(1-x)q_0^+} + \frac{p_{\perp}^2}{2xq_0^+} = XP^-$$

- $\Rightarrow X = X(x, p_{\perp})$
- simplifies when $k_{\perp} \simeq p_{\perp} \gg Q_s$

$$X(x) \simeq \frac{k_{\perp}^2}{xs} = \frac{X_g}{x}$$

- $X \leq 1 \Rightarrow x \geq X_g$

- Equivalently: gluon lifetime should be larger than the target width
- The same condition holds for the 'virtual' corrections
 - non-trivial cancellations required by probability conservation

Some proposals to solve the problem

- General idea: the ‘subtracted’ term performs an ... **over-subtraction**
- Strategy: reduce the longitudinal (x) phase-space for the ‘hard’ gluon
 - factorization scale x_0 separating ‘evolution’ from ‘impact factor’
(*Kang, Vitev, and Xing, arXiv:1403.5221*)

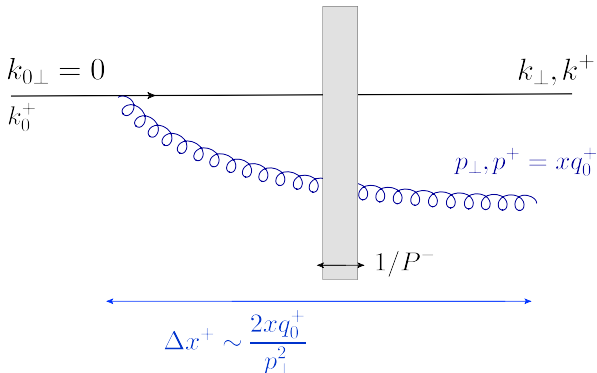
$$\int_0^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \implies \int_0^{x_0} \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)]$$

- x_0 can depend upon k_\perp , say to account for ‘time-ordering’
(*Ducloué, Lappi, and Zhu, arXiv:1604.00225*)
- In principle, it shouldn’t matter that much
 - the x_0 –dependence must cancel in a complete calculation
- In practice, it only pushes the problem up to somewhat higher k_\perp
 - also, strongly dependent upon the precise implementation of x_0

Energy conservation ("loffe's time")

(Altinoluk, Armesto, Beuf, Kovner, and Lublinsky, arXiv:1411.2869)

- x cannot be arbitrarily small since constrained by energy conservation



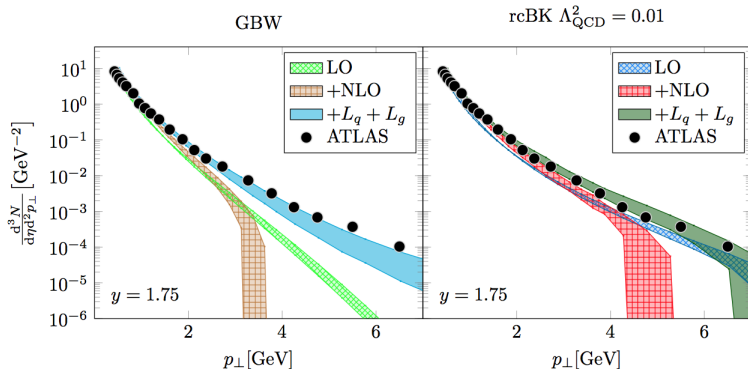
- Gluon lifetime should be larger than the target width

$$\frac{2xq_0^+}{p_\perp^2} > \frac{1}{P^-} \implies x > \frac{p_\perp^2}{s}$$

Implementing the constraint

(Watanabe, Xiao, Yuan, and Zaslavsky, arXiv:1505:05183)

- It matters for the subtraction scheme only if $k_{\perp} \gg p_{\perp}$

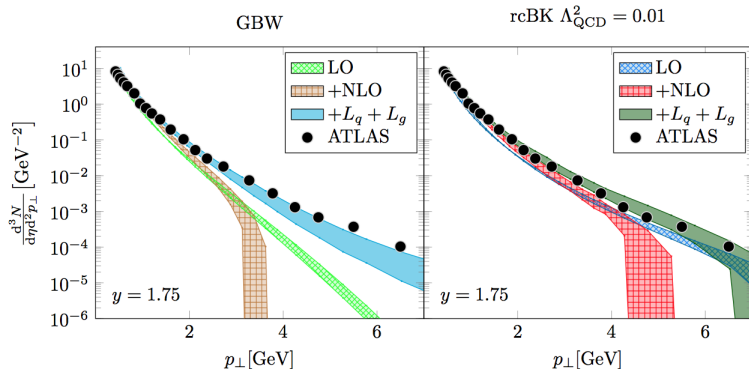


- ... which means it doesn't really matter in practice
 - when $k_{\perp} \gtrsim Q_s$, one also has $k_{\perp} \sim p_{\perp}$

Implementing the constraint

(Watanabe, Xiao, Yuan, and Zaslavsky, arXiv:1505:05183)

- It matters for the subtraction scheme only if $k_{\perp} \gg p_{\perp}$



- Once again, it pushes the problem to higher k_{\perp}
 - ... and strongly dependent upon the model/evolution chosen for \mathcal{S}